Document Checklist
Financial Planning, Retirement and Wealth Management

☐ Most Recent Statements for 401(k), 403(b), SEP, IRA, Pension, (Retirement Asset Accounts).

☐ Most Recent Investment Account Statements, (Brokerage/Mutual Fund/Separate or Managed Accts.).

☐ Current Copy of Will, Trusts, Power of Attorney.

☐ Copy of any Annuity, Life Insurance, Disability or Long Term Care contract or policy.

☐ Copy of any Tax Deferred or Immediate Annuity account statements.

☐ Copy of any Business Buy Sell Agreement. (Buy -out agreement).

☐ Copy of any Executive Benefit Program or Deferred Compensation, or Executive Stock Program.

☐ Copy of any Children's/Grandchildren College or I.R.C. 529 accounts.

☐ Copy Last (2) Years Federal Income Tax Return.

☐ Personal Balance Sheet.

☐ Copy of last year's Social Security Statement

☐ Business Balance Sheet and/or prior year P. & L.

Updated 8/23/2017